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| TRANSMITTAL | | Application No. | 10/824,310 | |
|-----------------------------------------------------------------------------------------------|------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------------|--|
| | | Filing Date | April 13, 2004 | |
| (to be used for all correspondence | after initial filing) | First Named Inventor | Junko Yotani | |
| | | Art Unit | | |
| | | Examiner Name | | |
| Total Number of Pages in This Submiss | ion 10 | Attorney Docket Number | 96790P453 | |
| ENCI | OSURES (chec | ck all that apply) | | |
| Fee Transmittal Form | Drawing(s) |) | After Allowance Communication to TC | |
| Fee Attached | Licensing-re | elated Papers | Appeal Communication to Board of Appeals and Interferences | |
| Amendment / Reply | Petition | | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) | |
| After Final Affidavits/declaration(s) | Petition to C Provisional | Convert a Application | Proprietary Information | |
| Extension of Time Request | Power of At Change of C | ttorney, Revocation Correspondence Address | Status Letter Other Enclosure(s) | |
| Express Abandonment Request | Terminal D | Disclaimer | (please identify below): | |
| Information Disclosure Statement Request | | Refund | Chinese Office Action with English translation; 2 prior | |
| PTO/SB/08 | CD, Numbe | er of CD(s) | art references; postcard | |
| Certified Copy of Priority Document(s) | Landso | cape Table on CD | | |
| Response to Missing Parts/ Incomplete Application | Remarks | | | |
| Basic Filing Fee | | | | |
| Declaration/POA | | | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | | | | |
| SIGNATU | IRE OF APPLICAN | NT, ATTORNEY, OR AG | ENT | |
| Firm Eric S. Hym | an, Reg. No. 30, | 139 | | |
| 1 1 1 1 1 | SOKOLOFF? | TAXLOR & ZAFN | IANLLP | |
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| Date 13/07 | | | | |
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| I hereby certify that this correspondence is be postage as first class mail in an envelope ad | eing deposited with the dressed to: Commission | e United States Postal Servio oner for Patents, P.O. Box 14 | e on the date shown below with sufficient 450, Alexandria, VA 22313-1450. | |
| Typed or printed name Vi Hoang | | | | |
| Signature | 3/07 | | ate 1/3/07 | |

JAN 0 8 2007 EE TRANSMITTAL Complete if Known **Application Number** 10/824,310 for FY 2006 Filing Date April 13, 2004 First Named Inventor Patent fees are subject to annual revision. Junko Yotani **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27. **Art Unit** TOTAL AMOUNT OF PAYMENT (\$) Attorney Docket No. 96790P453 METHOD OF PAYMENT (check all that apply) ☐ Check ☐ Credit card ☐ Money Order ☐ None Other (please identify): Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) ☐ Charge fee(s) indicated below, except for the filing fee ☐ Charge fee(s) indicated below Charge any additional fee(s) or underpayment of fee(s) Credit any overpayments under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. **FEE CALCULATION**

| Large Er | ntity | Small | | | |
|----------|---------|-------|------|------------------------------------------------------------------|----------|
| Fee | Fee | Fee | Fee | Fee Description | Foo Boid |
| Code | (\$) | Code | (\$) | ree Description | Fee Paid |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | | Surcharge - late provisional filing fee or cover sheet. | |
| 2053 | 130 | 2053 | | Non-English specification | |
| 1251 | 120 | 2251 | | Extension for reply within first month | |
| 1252 | 450 | 2252 | | Extension for reply within second month | |
| 1253 | 1,020 | 2253 | | Extension for reply within third month | |
| 1254 | 1,590 | 2254 | | Extension for reply within fourth month | |
| 1255 | 2,160 | 2255 | | Extension for reply within fifth month | |
| 1401 | 500 | 2401 | 250 | Notice of Appeal | |
| 1402 | 500 | 2402 | 250 | ··· | |
| 1403 | 1,000 | 2403 | | Request for oral hearing | |
| 1451 | 1,510 | 2451 | | | |
| 1460 | 130 | 2460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | | Submission of Information Disclosure Stmt | |
| 1809 | 790 | 1809 | 395 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 790 | 2810 | 395 | For each additional invention to be examined (37 CFR § 1.129(b)) | , |
| Other f | ee (spe | cify) | | | |
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| SUBMITTED B | Y | | | | Com | plete (if applicable) |
|-------------------|---------------|------|-----------------------------------|--------|-----------|-----------------------|
| Name (Print/Type) | Eric S. Hyman | / N | Registration No. (Attorney/Agent) | 30,139 | Telephone | (310) 207-3800 |
| Signature | | Cell | , | | Date | 13/07 |

SUBTOTAL (2)

Based on PTO/SB/17 (12-04) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 12/15/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

JAN 0 8 2007 5

Docket No.: 96790P453

HE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

JUNKO YOTANI, ET AL.

Application No.: 10/824,310

Filed: April 13, 2004

For: Method of Manufacturing

Electron-Emitting Source

Art Group:

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The references were cited in a Search Report dated November 17, 2006 (copy enclosed herewith) which was forwarded to Applicant's Representative in a communication dated November 17, 2006.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOHOFF, TAYLOR & ZAEMAN LLP

Eric S. Hyman, Reg. No. 30,139

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025

Telephone: (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Vi Hoang

Date

1/3/17

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bstitute for form 1449A/PTO

STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

| Complete if Known | | | | |
|------------------------|----------------|----------------------------------------|--|--|
| Application Number | 10/824,310 | ************************************** | | |
| Filing Date | April 13, 2004 | 1-11 | | |
| First Named Inventor | Junko Yotani | | | |
| Art Unit | | 5 | | |
| Examiner Name | | | | |
| Attorney Docket Number | 96790P453 | | | |

| | U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------------------------|--------------------------|--------------------------------------------|---------------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------|--|--|--|
| | | Document Number | Publication Date | | Pages, Columns, Lines, Where | | | |
| Examiner Initials* | Cite No. ¹ | Number - Kind Code ² (if known) | or Issue Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | | | |
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| | Cite | Cite | Foreign Patent Document | B. Francis - Barta | | Pages, Columns, Lines, | - 6 |
|--|------|------------|--------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------|------------------------|------------|
| | No.1 | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ | |
| | | CN1309408A | 08-22-2001 | ISE Electronics Industry Co Lt | | AL | |
| | | CN1361918A | 07-31-2002 | Lightlab AB | | W | |
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Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901,04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Complete if Known **Substitute for form 1449A/PTO Application Number** 10/824,310 **INFORMATION DISCLOSURE** Filing Date April 13, 2004 First Named Inventor STATEMENT BY APPLICANT Junko Yotani Art Unit (use as many sheets as necessary) Examiner Name 2 2 of Sheet Attorney Docket Number 96790P453

| | NON PATENT LITERATURE DOCUMENTS | | | | | |
|-----------------------|---------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|--|--|--|
| Examiner Initials* | Cite No.¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² | | | |
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Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003. Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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¹Applicant's unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.